

10/593026

IAP12 Rec'd PCT/PTO 15 SEP 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EV809338234US

Applicant : Stephen Wilson  
Application No. : N/A  
Filed : September 15, 2006  
Title : SYSTEM AND METHOD FOR ANONYMOUSLY INDEXING  
ELECTRONIC RECORD SYSTEMS  
Grp./Div. : N/A  
Examiner : N/A  
Docket No. : 58430/R178

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 15, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

for By D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

Enclosures: Form PTO/SB/08A/B, w/references

|   |                        |                    |
|---|------------------------|--------------------|
| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B   | Attorney Docket Number | 58430/R178         |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) | Application Number     | N/A                |
|   | Filing Date            | September 15, 2006 |
|   | Applicant(s)           | Stephen Wilson     |
|   | Group Art Unit         | N/A                |
|   | Examiner Name          | N/A                |

| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee |
|                       |                       | 2001/0054155 A1   | 12-20-2001                     | Hagan et al.     |
|                       |                       | 2003/0097561 A1   | 05-22-2003                     | Wheeler et al.   |
|                       |                       | 2003/0097573 A1   | 05-22-2003                     | Wheeler et al.   |
|                       |                       | 2003/0101344 A1   | 05-29-2003                     | Wheeler et al.   |
|                       |                       |   |                                |                  |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |
|--------------------------|-----------------------|---|--------------------------------|--|---|
| EXAMINER INITIALS        | Cite No. <sup>1</sup> | FOREIGN PATENT DOCUMENT<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>( <input checked="" type="checkbox"/> ) |
|                          |                       | WO 98/52161   | 11-19-1998                     | Richards   |   |
|                          |                       |   |                                |  |   |
|                          |                       |   |                                |  |   |
|                          |                       |   |                                |  |   |
|                          |                       |   |                                |  |   |

| OTHER DOCUMENTS   |                       |  |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                   |                       | International Search Report, dated 05-13-2005, corresponding to PCT/AU2005/000364  |
|                   |                       | Written Opinion, dated 05-13-2004, corresponding to PCT/AU2005/000364  |
|                   |                       |  |
|                   |                       |  |

AAM PAS700997.1-\* 09/15/06 2:13 PM

|                    |                    |
|--------------------|--------------------|
| EXAMINER SIGNATURE | DATE<br>CONSIDERED |
|--------------------|--------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.